



Ex. 6 Personal Privacy (PP)

Ex. 4 CBI

October 16, 2020

Imports Helpline
U.S. Environmental Protection Agency
Compliance Division
Attn: Mr. David C. Hurlin
2000 Traverwood Drive
Ann Arbor, MI 48105
734.214.4098

RE: Request for Letter of Approval for Vehicle Import Under "Code I" on EPA Declaration Form (Form 3520-1)

Dear Mr. Hurlin,

I am writing to you once again on behalf of NIO USA, Inc., to request approval for importation of Three (3) of our new NIO ES6 vehicles from China for *testing & development*. You will recall that we are a manufacturer of zero-emission electric vehicles headquartered in San Jose, CA. The EPA previously approved importation of our electric vehicles, NIO ES8 & ES6, from our Shanghai office, for use as testing mules, we imported these vehicles under "Code I": Temporary Import for Testing Purposes, on the EPA Declaration Form (Form 3520-1).

Please find following the information required under §85.1705 to qualify for the testing exemption.

Statement of Purpose:

NIO ES6 is an all-electric drive, "cross-over" sport utility vehicle produced in China for the China market only.

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vehicles. Continued testing and development on newer & updated NIO vehicles are of importance to ensure improved quality of software & hardware, which is needed to support our production vehicles & users.

Need for Exemption:



NIO USA, INC., is responsible for testing & developing

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Reasonableness of Scope:

The testing program duration will be at least two (2) years and will involve Three (3) of the ES6 vehicles currently requested for import. Each of these vehicles will be the 2019 model year and have a gross vehicle weight of 2,372 KG.

Degree of Control:

Technical Nature of the Test:

NIO will perform data collection with the

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Site of the Test:

NIO will perform the testing in the State of California.

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Time or Mileage Duration of the Test:

Our testing will take place over at least two (2) years.

Ownership of Vehicle:

NIO USA INC., at San Jose, CA, will own the vehicles.

Intended Final Disposal of Vehicles:

Upon completion of testing NIO will ship the vehicles back to China or destroy under US customs supervision.

Manner in Which VIN/SN Are Kept:

The VIN/SN or each of the vehicles permitted for importation by the EPA letter of approval will be kept by the Legal and Logistics Department of the U.S. headquarters in San Jose, CA, to be available for inspection upon request.

Manner of Recording Results:

NIO will record all test data using internal tools stored on NIO-controlled servers located in California.

Current Location of Vehicles:

The vehicles are currently located in Shanghai, China



All the ES6 vehicles we seek to import comply with the following information:

Year: 2020 model year

Make: NIO

Model: ES6

The Serial Number for each of the vehicles is as follows:

- 1.
2. **Ex. 6 Personal Privacy (PP)**
- 3.

NIO is a publicly traded (NYSE listed), global zero-emission vehicle manufacturing company that employs over 250 people in the United States and over 7,000 employees worldwide. If the approval is not granted, this will result in economic hardship for the U.S. office, as continuation of these testing activities are critical to sustaining NIO's operations.

Once the EPA pre-approval letter is obtained, we will file EPA Form 3520-1, declaring "Code I", with US Customs & attach a copy of EPA approval letter to the form, during declaration during vehicle importation process. NIO will comply with all guidelines & restrictions, as stated in EPA Exemption instruction letter.

NIO will ensure that the vehicles are exported or destroyed under customs supervision before the expiration of the approval. If needed, we will request EPA exemption extension, as permissible under EPA guidelines & conditions.

If you require any additional information to complete the approval letter, please do not hesitate to contact me. Thanks again for your continued support.

Sincerely,

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Hurlin, David

From: Ex. 6 Personal Privacy (PP)
Sent: Friday, October 16, 2020 10:10 PM
To: Hurlin, David
Cc: Ex. 6 Personal Privacy (PP)
Subject: Ex. 4 CBI Dated October 16, 2020 EPA testing exemption letter request - Request Code I - for Three (3) NIO ES6 models
Attachments: Ex. 4 CBI VINs LJ1E6A2UXL7730371, LJ1E6A2U1L7730355, LJ1E6A2U3L7730129.pdf; NIO ES6 Pics_NIO CONFIDENTIAL.pdf

Dear Mr. Hurlin,

I, Ex. 6 Personal Privacy (PP) am the Sr. Manager - Logistics at NIO USA, INC, located at 3200 N. First Street, San Jose, CA 95134.

I am writing on behalf of NIO USA, INC. ("NIO"), as we wish to request approval for temporary importation of Three (3) of our new NIO ES6 vehicles from China for research, testing & development. Once approved, we will be importing under "Code I": Temporary Import for Testing Purposes, on the EPA Declaration Form (Form 3520-1).

NIO is a publicly traded (NYSE listed), global zero-emission vehicle manufacturing company that employs over 250 people in the United States and over 7,000 employees worldwide. If the approval is not granted, this will result in economic hardship for the U.S. office, as continuation of these testing activities are critical to sustaining NIO's operations.

NIO will ensure that the testing vehicles are exported from the United States or destroyed under US Customs supervision, before the expiration of the EPA exemption.

The vehicle VIN numbers are as follows:

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The following is attached:

- 1) Ex. 4 CBI Dated October 16, 2020
- 2) Pictures of NIO ES6.

If you require further clarification or additional information, please do not hesitate to contact me. Thanks for your continued support.

With kind regards,

Ex. 6 Personal Privacy (PP)



3200 North First Street
San Jose, CA 95134
USA
www.nio.com



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